Attorney Docket: TUC920030116US1 Express Mail Label: EV332351165US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	BARTFALET AL.	) EXAMINER
SERIAL NUMBER:	10/	) ART UNIT:
FILING DATE:	29 SEPTEMBER 2003	)
TITLE:	METHOD, SYSTEM AND ARTICLE OF MANUFACTURE FOR RECOVERY FROM A FAILURE IN A CASCADING PPRC SYSTEM	) ) )

Mail Stop: Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

## INFORMATION DISCLOSURE STATEMENT

Applicant calls the Examiner's attention to the patents and publications listed on the attached Form PTO-1449, copies of required documents enclosed, which may be material to examination of the above identified application.

## **TIME OF TRANSMITTAL**

This Information Disclosure Statement is being filed under 37 CFR § 1.97(b). This Statement is filed within at least one of the following time periods:

- (a) within three months of the filing date of a national application (other than a CPA under 37 CFR § 1.53(d));
- (b) within three months of the date of entry of the national stage as set forth in 37 CFR § 1.491 in an international application;
- (c) before the mailing of a first Office Action of the merits; or
- (d) before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR § 1.114.

No fee is believed to be due in this instance. However, the undersigned hereby authorizes the charging of any fees created by the filing of this document to Deposit Account No. 19-5117.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. This listed patents and publications are believed of interest herein and consideration and citation of as interest by Examiner is respectfully requested.

Respectfully submitted,

James A. Brown, #48,576 Swanson & Bratschun, LLC

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303.268.0065 (FAX)

cc: IBM Tucson

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## FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT

COMMERCE PATENT AND TRADEMARK OFFICE

List of Information Cited by Applicant

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ATTY. DOCKET NO.	SERIAL NO.	
TUC920030116US1		
APPLICANT		
BARTFAI ET AL.		
FILING DATE	GROUP	

U.S. PATENT DOCUMENTS							
EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLS	SUB -CLS	FILE DATE
	AA	6,490,596	DEC 2002	MICKA			
	AB	6,499,112	DEC 2002	MILILLO ET AL.			
	AC						
	AD						
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**29 SEPTEMBER 2003** 

	FOREIGN PATENT DOCUMENTS							
EXAM. INITIAL		DOCUMENT NUMBER	DATE		COUNTRY	CLS	SUB	TRANS
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
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	AW	
	АХ	
	AY	
	AZ	
EXAMINER		DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and <u>not</u> considered. Include copy of this form with next communication to applicant.